

APPLICATION FOR EXEMPTION FROM AUDIT

LONG FORM

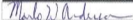
NAME OF GOVERNMENT
ADDRESSNORTHWEST CONEJO FIRE PROTECTION DISTRICT
PO BOX 359
LA JARA, CO 81140For the Year Ended
12/31/2018
or fiscal year ended:CONTACT PERSON
PHONE
EMAIL
FAXLORI SMITH
(719) 593-4775

CERTIFICATION OF PREPARER

I certify that I am an independent accountant with knowledge of governmental accounting and that the information in the Application is complete and accurate to the best of my knowledge. I am aware that the Audit Law requires that a person independent of the entity complete the application if revenues or expenditure are at least \$100,000 but not more than \$750,000, and that independent means someone who is separate from the entity.

NAME:
TITLE
FIRM NAME (if outside):
ADDRESS
PHONE
DATE PREPARED
RELATIONSHIP TO ENTITYMARLO ANDERSON
CPA
PO BOX 545 MANASSA, CO 81141
(719) 843-0622
3/4/2020
Independent CPA hired to complete the Application for Exemption from Audit

PREPARER (SIGNATURE REQUIRED):



Has the entity filed for, or has the district filed, a Title 32, Article 1 Special District Notice of Inactive Status during the year? [Applicable to Title 32 special districts only, pursuant to Sections 32-1-105 (9.3) and 32-1-104 (3), C.R.S.]

YES NO



If Yes, date filed:

PART 1 - FINANCIAL STATEMENTS - BALANCE SHEET

* Indicate Name of Fund

NOTE: Attach additional sheets as necessary

Line #	Description	Governmental Funds		Description	Proprietary/Fiduciary Funds		Please use this space to provide explanation of any items on this page
		General Fund	Fund*		Fund*	Fund*	
Assets							
5-1	Cash & Cash Equivalents	\$ 356,703	\$ -	Cash & Cash Equivalents	\$ -	\$ -	
5-2	Investments	\$ -	-	Investments	\$ -	\$ -	
5-3	Receivables	\$ -	-	Receivables	\$ -	\$ -	
5-4	Due from Other Entities or Funds	\$ -	-	Due from Other Entities or Funds	\$ -	\$ -	
	All Other Assets [specify...]	\$ -	-	Other Current Assets	\$ -	\$ -	
5-6		\$ -	-	Total Current Assets	\$ -	\$ -	
5-6		\$ -	-	Capital Assets, net (from Part 4)	\$ -	\$ -	
5-7		\$ -	-	Other Long Term Assets [specify...]	\$ -	\$ -	
5-8		\$ -	-		\$ -	\$ -	
5-8		\$ -	-		\$ -	\$ -	
5-10		\$ -	-		\$ -	\$ -	
5-11	(add lines 1-1 through 1-10) TOTAL ASSETS	\$ 356,703	\$ -	(add lines 1-1 through 1-10) TOTAL ASSETS	\$ -	\$ -	
5-12	TOTAL DEFERRED OUTFLOWS OF RESOURCES	\$ -	-	TOTAL DEFERRED OUTFLOWS OF RESOURCES	\$ -	\$ -	
5-13	TOTAL ASSETS AND DEFERRED OUTFLOWS	\$ 356,703	\$ -	TOTAL ASSETS AND DEFERRED OUTFLOWS	\$ -	\$ -	
Liabilities							
5-14	Accounts Payable	\$ -	-	Accounts Payable	\$ -	\$ -	
5-15	Accrued Payroll and Related Liabilities	\$ -	-	Accrued Payroll and Related Liabilities	\$ -	\$ -	
5-16	Accrued Interest Payable	\$ -	-	Accrued Interest Payable	\$ -	\$ -	
5-17	Due to Other Entities or Funds	\$ -	-	Due to Other Entities or Funds	\$ -	\$ -	
5-18	All Other Current Liabilities	\$ -	-	All Other Current Liabilities	\$ -	\$ -	
5-19	TOTAL CURRENT LIABILITIES	\$ -	-	TOTAL CURRENT LIABILITIES	\$ -	\$ -	
5-20	All Other Liabilities [specify...]	\$ -	-	Proprietary Debt Outstanding (from Part 4)	\$ -	\$ -	
5-21		\$ -	-	Other Liabilities [specify...]	\$ -	\$ -	
5-22		\$ -	-		\$ -	\$ -	
5-23		\$ -	-		\$ -	\$ -	
5-24		\$ -	-		\$ -	\$ -	
5-25		\$ -	-		\$ -	\$ -	
5-26		\$ -	-		\$ -	\$ -	
5-27		\$ -	-		\$ -	\$ -	
5-28	(add lines 1-14 through 1-27) TOTAL LIABILITIES	\$ -	-	(add lines 1-14 through 1-27) TOTAL LIABILITIES	\$ -	\$ -	
5-29	TOTAL DEFERRED INFLOWS OF RESOURCES	\$ -	-	TOTAL DEFERRED INFLOWS OF RESOURCES	\$ -	\$ -	
Fund Balance							
5-30	Nonspendable Prepaid	\$ -	-	Net Position			
5-31	Nonspendable Inventory	\$ -	-	Not Investment in Capital Assets	\$ -	\$ -	
5-32	Restricted Emergency Reserves	\$ 33,153	-	Emergency Reserves	\$ -	\$ -	
5-33	Committed [specify...]	\$ -	-	Other Designations/Reserves	\$ -	\$ -	
5-34	Assigned [specify...]	\$ -	-	Restricted	\$ -	\$ -	
5-35	Unassigned	\$ 323,550	-	Undesignated/Unreserved/Unrestricted	\$ -	\$ -	
5-36	(add lines 1-30 through 1-35) This total should be the same as line 3-23 TOTAL FUND BALANCE	\$ 356,703	\$ -	(add lines 1-30 through 1-35) This total should be the same as line 3-23 TOTAL NET POSITION	\$ -	\$ -	
5-37	(add lines 1-28, 1-29 and 1-36) This total should be the same as line 5-13 TOTAL LIABILITIES, DEFERRED INFLOWS, AND FUND BALANCE	\$ 356,703	\$ -	(add lines 1-28, 1-29 and 1-36) This total should be the same as line 1-13 TOTAL LIABILITIES, DEFERRED INFLOWS, AND NET POSITION	\$ -	\$ -	

PART 2 - FINANCIAL STATEMENTS - OPERATING STATEMENT - REVENUES

Line #	Description	Governmental Funds		Description	Proprietary/Fiduciary Funds		Please use this space to provide explanation of any items on this page
		General Fund	Fund		Fund	Fund	
Tax Revenue				Tax Revenue			
2-1	Property (include into line 2 through 2-4)	\$ 146,321	\$ -	Property (include into line 2 through 2-4)	\$ -	\$ -	
2-2	Specific Ownership	\$ 26,536	\$ -	Specific Ownership	\$ -	\$ -	
2-3	Sales and Use Tax	\$ -	\$ -	Sales and Use Tax	\$ -	\$ -	
2-4	Other Tax Revenue (specify...)	\$ -	\$ -	Other Tax Revenue (specify...)	\$ -	\$ -	
2-5		\$ -	\$ -		\$ -	\$ -	
2-6		\$ -	\$ -		\$ -	\$ -	
2-7		\$ -	\$ -		\$ -	\$ -	
2-8	Add lines 2-1 through 2-7 TOTAL TAX REVENUE	\$ 176,860	\$ -	Add lines 2-1 through 2-7 TOTAL TAX REVENUE	\$ -	\$ -	
2-9	Licenses and Permits	\$ -	\$ -	Licenses and Permits	\$ -	\$ -	
2-10	Highway Users Tax Funds (perm)	\$ -	\$ -	Highway Users Tax Funds (perm)	\$ -	\$ -	
2-11	Conservation Trust Funds (waters)	\$ -	\$ -	Conservation Trust Funds (waters)	\$ -	\$ -	
2-12	Community Development Block Grant	\$ -	\$ -	Community Development Block Grant	\$ -	\$ -	
2-13	Fire & Police Pension	\$ 12,232	\$ -	Fire & Police Pension	\$ -	\$ -	
2-14	Grants	\$ 2,500	\$ -	Grants	\$ -	\$ -	
2-15	Donations	\$ -	\$ -	Donations	\$ -	\$ -	
2-16	Charges for Sales and Services	\$ -	\$ -	Charges for Sales and Services	\$ -	\$ -	
2-17	Rental Income	\$ -	\$ -	Rental Income	\$ -	\$ -	
2-18	Fines and Forfeits	\$ -	\$ -	Fines and Forfeits	\$ -	\$ -	
2-19	Interest/Investment Income	\$ 942	\$ -	Interest/Investment Income	\$ -	\$ -	
2-20	Tap Fees	\$ -	\$ -	Tap Fees	\$ -	\$ -	
2-21	Proceeds from Sale of Capital Assets	\$ -	\$ -	Proceeds from Sale of Capital Assets	\$ -	\$ -	
2-22	All Other (specify...)	\$ 220	\$ -	All Other (specify...)	\$ -	\$ -	
2-23		\$ -	\$ -		\$ -	\$ -	
2-24	Add lines 2-9 through 2-23 TOTAL REVENUES	\$ 192,760	\$ -	Add lines 2-9 through 2-23 TOTAL REVENUES	\$ -	\$ -	
Other Financing Sources				Other Financing Sources			
2-25	Debt Proceeds	\$ -	\$ -	Debt Proceeds	\$ -	\$ -	
2-26	Developer Advances	\$ -	\$ -	Developer Advances	\$ -	\$ -	
2-27	Other (specify...)	\$ -	\$ -	Other (specify...)	\$ -	\$ -	
2-28	Add lines 2-25 through 2-27 TOTAL OTHER FINANCING SOURCES	\$ -	\$ -	Add lines 2-25 through 2-27 TOTAL OTHER FINANCING SOURCES	\$ -	\$ -	
2-29	Add lines 2-24 and 2-28 TOTAL REVENUES AND OTHER FINANCING SOURCES	\$ 192,760	\$ -	Add lines 2-24 and 2-28 TOTAL REVENUES AND OTHER FINANCING SOURCES	\$ -	\$ -	GRAND TOTALS
		\$ 192,760	\$ -		\$ -	\$ -	192,760

IF GRAND TOTAL REVENUES AND OTHER FINANCING SOURCES for all funds (Line 2-29) are GREATER than \$750,000 - STOP. You may not use this form. An audit may be required. See Section 28-1-604, C.R.S., or contact the OSA Local Government Division at (303) 865-5000 for assistance.

PART 3 - FINANCIAL STATEMENTS - OPERATING STATEMENT - EXPENDITURES/EXPENSES

Line #	Description	Governmental Funds		Description	Proprietary/Fiduciary Funds		Please use this space to provide explanation of any items on this page
		General Fund	Fund		Fund	Fund	
3-1	Expenditures			Expenses			
3-1	General Government	\$ 49,231	\$ --	General Operating & Administrative	\$ --	\$ --	
3-2	Judicial	\$ --	\$ --	Salaries	\$ --	\$ --	
3-3	Law Enforcement	\$ --	\$ --	Payroll Taxes	\$ --	\$ --	
3-4	Fire	\$ 31,045	\$ --	Contract Services	\$ --	\$ --	
3-5	Highways & Streets	\$ --	\$ --	Employee Benefits	\$ --	\$ --	
3-6	Solid Waste	\$ --	\$ --	Insurance	\$ --	\$ --	
3-7	Contributions to Fire & Police Pension Assoc.	\$ 26,732	\$ --	Accounting and Legal Fees	\$ --	\$ --	
3-8	Health	\$ --	\$ --	Repair and Maintenance	\$ --	\$ --	
3-9	Culture and Recreation	\$ --	\$ --	Supplies	\$ --	\$ --	
3-10	Transfers to other districts	\$ --	\$ --	Utilities	\$ --	\$ --	
3-11	Other (specify -)	\$ --	\$ --	Contributions to Fire & Police Pension Assoc.	\$ --	\$ --	
3-12		\$ --	\$ --	Other (specify -)	\$ --	\$ --	
3-13		\$ --	\$ --		\$ --	\$ --	
3-14	Capital Outlay	\$ 70,000	\$ --	Capital Outlay	\$ --	\$ --	
	Debt Service			Debt Service			
3-15	Principal	\$ --	\$ --	Principal	\$ --	\$ --	
3-16	Interest	\$ --	\$ --	Interest	\$ --	\$ --	
3-17	Bond Issuance Costs	\$ --	\$ --	Bond Issuance Costs	\$ --	\$ --	
3-18	Developer Principal Repayments	\$ --	\$ --	Developer Principal Repayments	\$ --	\$ --	
3-19	Developer Interest Repayments	\$ --	\$ --	Developer Interest Repayments	\$ --	\$ --	
3-20	All Other (specify -)	\$ --	\$ --	All Other (specify -)	\$ --	\$ --	
3-21		\$ --	\$ --		\$ --	\$ --	
3-22	Add lines 3-1 through 3-21 TOTAL EXPENDITURES	\$ 177,007	\$ --	Add lines 3-1 through 3-21 TOTAL EXPENSES	\$ --	\$ --	GRAND TOTAL \$ 177,007
3-23	Interfund Transfers (to)	\$ --	\$ --	Net Interfund Transfers (In) Out	\$ --	\$ --	
3-24	Interfund Transfers (from)	\$ --	\$ --	Other (specify -) (enter negative for expense)	\$ --	\$ --	
3-25	Other Expenditures (Revenue)	\$ --	\$ --	Depreciation	\$ --	\$ --	
3-26		\$ --	\$ --	Other Financing Sources (Gain) (from line 3-25)	\$ --	\$ --	
3-27		\$ --	\$ --	Capital Outlay (from line 3-14)	\$ --	\$ --	
3-28		\$ --	\$ --	Debt Principal (from line 3-15, 3-17)	\$ --	\$ --	
3-29	(Add lines 3-23 through 3-28) TOTAL TRANSFERS AND OTHER EXPENDITURES	\$ --	\$ --	(Line 3-26, plus line 3-27, less line 3-28, less line 3-25) TOTAL GAAP RECONCILING ITEMS	\$ --	\$ --	
3-30	Excess (Deficiency) of Revenues and Other Financing Sources Over (Under) Expenditures Line 2-29, less line 3-22, plus line 3-29	\$ 15,753	\$ --	Net Increase (Decrease) in Net Position Line 2-29, less line 3-22, plus line 3-29, plus line 3-23, less line 3-24	\$ --	\$ --	
3-31	Fund Balance, January 1 from December 31 prior year report	\$ 340,990	\$ --	Net Position, January 1 from December 31 prior year report	\$ --	\$ --	
3-32	Prior Period Adjustment (MUST explain)	\$ --	\$ --	Prior Period Adjustment (MUST explain)	\$ --	\$ --	
3-33	Fund Balance, December 31 Sum of Line 3-30, 3-31, and 3-32 This total should be the same as line 1-36	\$ 356,703	\$ --	Net Position, December 31 Line 3-30 plus line 3-31 This total should be the same as line 1-36	\$ --	\$ --	

IF GRAND TOTAL EXPENDITURES for all funds (line 3-22) are GREATER than \$750,000 - STOP. You may not use this form. An audit may be required. See Section 29-1-404, C.R.S., or contact the OSA Local Government Division at (303) 969-0090 for assistance.

PART 4 - DEBT OUTSTANDING, ISSUED, AND RETIRED

Please answer the following questions by marking the appropriate boxes.

YES NO

Please use this space to provide any explanations or comments.

- 4-1 Does the entity have outstanding debt? YES NO
- 4-2 Is the debt repayment schedule attached? If no, MUST explain: YES NO
- 4-3 Is the entity current in its debt service payments? If no, MUST explain: YES NO

4-4 Please complete the following debt schedule, if applicable: (please only include principal amounts)

	Outstanding at beginning of year	Issued during year	Retired during year	Outstanding at year-end
General obligation bonds	\$ -	\$ -	\$ -	\$ -
Revenue bonds	\$ -	\$ -	\$ -	\$ -
Notes, loans	\$ -	\$ -	\$ -	\$ -
Leases	\$ -	\$ -	\$ -	\$ -
Developer Advances	\$ -	\$ -	\$ -	\$ -
Other (specify)	\$ -	\$ -	\$ -	\$ -
TOTAL	\$ -	\$ -	\$ -	\$ -

*Must agree to prior year ending balance.

Please answer the following questions by marking the appropriate boxes.

YES NO

- 4-5 Does the entity have any authorized, but unissued, debt? YES NO
- If yes, How much? \$ -
- Date the debt was authorized:
- 4-6 Does the entity intend to issue debt within the next calendar year? YES NO
- If yes, How much? \$ -
- 4-7 Does the entity have debt that has been refinanced that it is still responsible for? YES NO
- If yes, What is the amount outstanding? \$ -
- 4-8 Does the entity have any lease agreements? YES NO
- If yes, What is being leased?
- What is the original date of the lease?
- Number of years of lease?
- Is the lease subject to annual appropriation? YES NO
- What are the annual lease payments? \$ -

PART 5 - CASH AND INVESTMENTS

Please provide the entity's cash deposit and investment balances.

AMOUNT TOTAL

Please use this space to provide any explanations or comments.

5-1	YEAR-END Total of ALL Checking and Savings accounts	\$ 323,150	
5-2	Certificates of deposit	\$ 33,563	
	TOTAL CASH DEPOSITS		\$ 356,713
<i>(INVESTMENTS (if investment is a mutual fund, please list underlying investments))</i>			
5-3		\$ -	
		\$ -	
		\$ -	
		\$ -	
	TOTAL INVESTMENTS		\$ -
	TOTAL CASH AND INVESTMENTS		\$ 356,713

Please answer the following question by marking in the appropriate box

YES NO N/A

- 5-4 Are the entity's investments legal in accordance with Section 24-75-601, et seq., C.R.S.? YES NO N/A
- 5-5 Are the entity's deposits in an eligible (Public Deposit Protection Act) public depository (Section 11-13.5-101, et seq. C.R.S.)? If no, MUST explain: YES NO N/A

PART 6 - CAPITAL ASSETS

Please answer the following question by marking in the appropriate box.

YES NO

Please use this space to provide any explanations or comments.

6-1 Does the entity have capitalized assets?

6-2 Has the entity performed an annual inventory of capital assets in accordance with Section 29-1-596, C.R.S.? If no, MUST explain:

6-3 Complete the following Capital Assets table for GOVERNMENTAL FUNDS:

	Balance - beginning of the year	Additions	Deletions	Year-End Balance
Land	\$ 12,500	\$ -	\$ -	\$ 12,500
Buildings	\$ 188,808	\$ -	\$ -	\$ 188,808
Machinery and equipment	\$ 1,254,772	\$ 70,000	\$ -	\$ 1,324,772
Furniture and fixtures	\$ -	\$ -	\$ -	\$ -
Infrastructure	\$ -	\$ -	\$ -	\$ -
Construction in Progress (or)	\$ -	\$ -	\$ -	\$ -
Other (payee)	\$ -	\$ -	\$ -	\$ -
Accumulated Depreciation (give a negative, or credit, balance)	\$ -	\$ -	\$ -	\$ -
TOTAL	\$ 1,486,078	\$ 70,000	\$ -	\$ 1,526,078

6-4 Complete the following Capital Assets table for PROPRIETARY FUNDS:

	Balance - beginning of the year	Additions	Deletions	Year-End Balance
Land	\$ -	\$ -	\$ -	\$ -
Buildings	\$ -	\$ -	\$ -	\$ -
Machinery and equipment	\$ -	\$ -	\$ -	\$ -
Furniture and fixtures	\$ -	\$ -	\$ -	\$ -
Infrastructure	\$ -	\$ -	\$ -	\$ -
Construction in Progress (or)	\$ -	\$ -	\$ -	\$ -
Other (payee)	\$ -	\$ -	\$ -	\$ -
Accumulated Depreciation (give a negative, or credit, balance)	\$ -	\$ -	\$ -	\$ -
TOTAL	\$ -	\$ -	\$ -	\$ -

*must agree to prior year ending balance

PART 7 - PENSION INFORMATION

Please answer the following question by marking in the appropriate box.

YES NO

Please use this space to provide any explanations or comments.

7-1 Does the entity have an "old hire" firemen's pension plan?

7-2 Does the entity have a volunteer firemen's pension plan?

7-3 Who administers the plan?

Fire and Police Pension Association

Indicate the contributions from:

Tax (property, 50, 600, etc.):

\$ 14,500

State contribution amount:

\$ 12,232

Other (grants, donations, etc.):

\$ -

TOTAL

\$ 26,732

What is the monthly benefit paid for 20 years of service per retiree as of Jan 17?

\$ 100

PART 8 - BUDGET INFORMATION

Please answer the following question by marking in the appropriate box

- | | YES | NO | NA |
|--|-------------------------------------|--------------------------|--------------------------|
| 8-1 Did the entity file a current year budget with the Department of Local Affairs, in accordance with Section 29-1-113 C.R.S.? If no, MUST explain: | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 8-2 Did the entity pass an appropriations resolution in accordance with Section 29-1-106 C.R.S.? If no, MUST explain: | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Please use this space to provide any explanations or comments:

If yes: Please indicate the amount budgeted for each fund for the year reported

Fund Name	Budgeted Expenditures/Expenses
General Fund	\$ 187,373
	\$ -
	\$ -
	\$ -

PART 9 - TAX PAYER'S BILL OF RIGHTS (TABOR)

Please answer the following question by marking in the appropriate box

- | | YES | NO |
|---|-------------------------------------|--------------------------|
| 9-1 Is the entity in compliance with all the provisions of TABOR (State Constitution, Article X, Section 23(5))?
Note: An election to exempt the government from the spending limitations of TABOR does not exempt the | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

Please use this space to provide any explanations or comments:

PART 10 - GENERAL INFORMATION

Please answer the following question by marking in the appropriate box

- | | YES | NO |
|--|--------------------------|-------------------------------------|
| 10-1 Is this application for a newly formed governmental entity? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

Please use this space to provide any explanations or comments:

If yes: Date of formation:

- | | | |
|---|--------------------------|-------------------------------------|
| 10-2 Has the entity changed its name in the past or current year? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
|---|--------------------------|-------------------------------------|

If Yes: NEW name
PRIOR name

- | | | |
|---|--------------------------|-------------------------------------|
| 10-3 Is the entity a metropolitan district? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
|---|--------------------------|-------------------------------------|

10-4 Please indicate what services the entity provides:

- | | | |
|---|--------------------------|-------------------------------------|
| 10-6 Does the entity have an agreement with another government to provide services? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
|---|--------------------------|-------------------------------------|

If yes: List the name of the other governmental entity and the services provided:

- | | | |
|--|-------------------------------------|--------------------------|
| 10-6 Does the entity have a certified mill levy? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|--|-------------------------------------|--------------------------|

If yes: Please provide the number of mills levied for the year reported (do not enter \$ amounts):

Bond Redemption rate:	0.000
General/Other mills:	6.000
Total mills	6.000

Please use this space to provide any additional explanations or comments not previously included:

OSA USE ONLY

		General Fund		Governmental Funds		Totals		
County Wide:								
Unrestricted Cash & Investments	\$	396,703	Unrestricted Fund Balance	\$	250,500	Total Tax Revenue	\$	176,080
Current Liabilities	\$	-	Total Fund Balance	\$	330,723	Revenue Paying Debt Service	\$	-
Deferred Inflow	\$	-	PI Fund Balance	\$	80,880	Total Revenue	\$	192,780
			Total Revenue	\$	192,780	Total Debt Service Principal	\$	-
			Total Expenditures	\$	177,287	Total Debt Service Interest	\$	-
			Inflow In	\$	-			
Governmental			Outflow Out	\$	-	Enterprise Funds		
Total Cash & Investments	\$	396,703	Preparatory		-	Net Position	\$	-
Transfers In	\$	-	Current Assets	\$	-	PI Net Position	\$	-
Transfers Out	\$	-	Deferred Outflow	\$	-	Government Wide		
Property Tax	\$	148,321	Current Liabilities	\$	-	Total Outstanding Debt	\$	-
Debt Service Principal	\$	-	Deferred Inflow	\$	-	Authorized but Unissued	\$	-
Total Expenditures	\$	177,007	Cash & Investments	\$	-	Total Authorized	\$	107,080
Total Developer Advances	\$	-	Physical Resources	\$	-			
Total Developer Repayments	\$	-						

PART 12 - GOVERNING BODY APPROVAL

Please answer the following question by marking in the appropriate box

12.1 If you plan to submit this form electronically, have you read the new Electronic Signature Policy?

YES

NO

Office of the State Auditor — Local Government Division - Exemption Form Electronic Signatures Policy and Procedures

Policy - Requirements

The Office of the State Auditor Local Government Audit Division may accept an electronic submission of an application for exemption from audit that includes governing board signatures obtained through a program such as DocuSign or EchoSign. Required elements and safeguards are as follows:

- The preparer of the application is responsible for obtaining board signatures that comply with the requirement in Section 29-1-604 (3), C.R.S., that states the application shall be personally reviewed, approved, and signed by a majority of the members of the governing body.
- The application must be accompanied by the signature history document created by the electronic signature software. The signature history document must show when the document was created and when the document was emailed to the various parties, and include the dates the individual board members signed the document. The signature history must also show the individuals' email addresses and IP address.
- Office of the State Auditor staff will not coordinate obtaining signatures.

The application for exemption from audit forms created by our office includes a section for governing body approval. Local governing boards note their approval and submit the application through one of the following three methods:

- 1) Submit the application in hard copy via the US Mail including original signatures.
- 2) Submit the application electronically via email and either,
 - a. include a copy of an adopted resolution that documents formal approval by the Board, or
 - b. include electronic signatures obtained through a software program such as DocuSign or EchoSign in accordance with the requirements noted above.

Below is the certification and approval of the governing body. By signing, each individual member is certifying they are a duly elected or appointed officer of the local government. Governing members may be verified. And by signing, the individual member certifies that this Application for Exemption from Audit has been prepared consistent with Section 29-1-604, C.R.S., which states that a governmental agency with revenues and expenditures of \$700,000 or less must have an application prepared by an independent accountant with knowledge of governmental accounting completed to the best of their knowledge and to accurate and true. Use additional pages if needed.

Print the names of ALL members of the governing body below

A MAJORITY of the members of the governing body must complete and sign in the columns below.

Full Name	Full Name	Full Name
Austin Valdez	I, <u>Austin Valdez</u> attest that I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: <u>Austin Valdez</u> Date: <u>3-20-20</u> My term Expires: <u>2023</u>	
Jordan Rojas	I, <u>Jordan Rojas</u> attest that I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: <u>Jordan Rojas</u> Date: <u>3-17-2020</u> My term Expires: <u>2023</u>	
Bonny Escherman	I, <u>Bonny Escherman</u> attest that I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: <u>Bonny Escherman</u> Date: <u>3-17-2020</u> My term Expires: <u>2023</u>	
Lionel Valdez	I, <u>Lionel Valdez</u> attest that I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: <u>Lionel Valdez</u> Date: <u>3-17-2020</u> My term Expires: <u>2022</u>	
HENRY W SCHIMPF	I, <u>HENRY W SCHIMPF</u> attest that I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: <u>Henry W Schimpf</u> Date: <u>3-17-2020</u> My term Expires: <u>2023</u>	
Robert Manquez	I, <u>Robert Manquez</u> attest that I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: <u>Robert Manquez</u> Date: <u>3-17-2020</u> My term Expires: <u>2023</u>	
Greg Anthony	I, <u>Greg Anthony</u> attest that I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: <u>Greg Anthony</u> Date: <u>3-17-2020</u> My term Expires: <u>2022</u>	

Resolution for Exemption From Audit

Resolution No. 30-2019

A RESOLUTION APPROVING AN EXEMPTION FROM AUDIT FOR FISCAL YEAR 2019 FOR THE NORTHWEST CONEJOS FIRE PROTECTION DISTRICT, STATE OF COLORADO.

WHEREAS, the Board of Directors of the Northwest Conejos Fire Protection District wishes to claim exemption from the audit requirements of Section 29-1-603, C.R.S.; and

WHEREAS, Section 29-1-604, C.R.S. state that any local government where neither revenues nor expenditures exceed five hundred thousand dollars may, with the approval of the state auditors, be exempt from the provision of Section 29-1-603, C.R.S.; and

WHEREAS, neither revenues nor expenditures for Northwest Conejos Fire Protection District exceeded \$500,000 for fiscal year 2019; and

WHEREAS, an application for exemption from audit for Northwest Conejos Fire Protection District has been prepared by Marlo Anderson, CPA, and independent accountant with knowledge of government accounting; and

WHEREAS, said application for exemption from audit has been completed in accordance with regulation issued by the state auditor.

NOW THEREFORE, be it resolved by the Board of Directors of the Northwest Conejos Fire Protection District that the application for exemption from audit for Northwest Conejos Fire Protection District for the fiscal year ended December 31, 2019, has been reviewed and is hereby approved by a majority of the Board of Directors of the Northwest Conejos Fire Protection District; that those members of the Board of Directors have signified their approval by signing below; and that this resolution shall be attached to, and shall become a part of the application for exemption from audit of the Northwest Conejos Fire Protection District for the fiscal year ended December 31, 2019.

ADOPTED this 17th day of March, A.D., 2020, by the Board of Directors of the Northwest Conejos Fire Protection District at a regular meeting at which a legal quorum was present


Robert Marquez, President

(S E A L)

ATTEST:


Lionel Valdez, Secretary/Treasurer

Type or Print Names of Members of
Board of Directors

Date Term
Exp.

Signature

Robert Marquez

2020

Robt Marquez

HENRY W SCHIMMEL

2023

Henry W Schimmel

Lionel Valdez

2022

Lionel Valdez

Justin Rogers

2023

Justin Rogers

Benny Escherman

2023

Benny Escherman

Greg Martinez

2022

Greg Martinez

Austin Valdez

2023

Austin Valdez